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Docket No.: CSHL-P03-010

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hannon et al.

Application No.:

10/055,797

Confirmation No.:

7431

Filed:

January 22, 2002

Art Unit:

1635

For:

METHODS AND COMPOSITIONS FOR

Examiner:

K. Chong

RNA INTERFERENCE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicants hereby petition that the Supplemental Information Disclosure Statement be considered.

I hereby certify, pursuant to 37 CFR 1.97(e)(1), that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a 07/03/2007 SDIRETAL 00000006 181945 10055797

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Application No.: 10/055,797 Docket No.: CSHL-P03-010

foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Applicants submit herewith copies of the non-patent literature references, CH2-CI2, in accordance with 37 CFR 1.98(a)(2).

A copy of the Supplementary Partial European Search Report in the related EP 03 73 2052 European patent application is enclosed herewith.

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In addition, the Examiner is advised that the following co-pending applications contain subject matter that may be related to the present application. By bringing these applications to the Examiner's attention, Applicants do not waive the confidentiality provisions of 35 U.S.C. § 122 as applicable.

Application Number	Filing Date	Art Unit	Docket Number	Publication Number
09/858,862	May 16, 2001	1635	CSHL- P01-010	US/2004/0018999-A1
09/866,557	May 24, 2001	1635	CSHL- P02-010	US/2002-0162126-A1
10/350,798	January 24, 2003	1635	CSHL- P04-010	US/2004-0086884-A1
10/997,086	November 23, 2004	1635	CSHL- P05-010	US/2006-0135456-A1
11/330,043	January 10, 2006	1636	CSHL- P06-010	Abandoned
11/791,554	May 23,2007	Not Yet Assigned	CSHL- P07-010	Not Yet Published

Application No.: 10/055,797 Docket No.: CSHL-P03-010

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CSHL-P03-010. A duplicate copy of this paper is enclosed.

Dated: June 28, 2007

Respectfully submitted,

By Yu Lu, Ph.D., J.D.

Registration No.: 50,306

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Attorneys/Agents For Applicants



Complete if Known Substitute for form 1449/PTO Application Number 10/055,797 INFORMATION DISCLOSURE January 22, 2002 Filing Date STATEMENT BY APPLICANT First Named Inventor Gregory J. Hannon, 1635 Art Unit (Use as many sheets as necessary) K. Chong Examiner Name CSHL-P03-010 Attorney Docket Number Sheet 1 of 1

U.S. PATENT DOCUMENTS						
	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
	No.1	Number-Kind Code ² (if known)			Relevant Passages or Relevar Figures Appear	
	AF	US-20030055020 A1	03-20-2003	Fire et al.		
	AG	US-20030051263 A1	03-13-2003	Fire et al.		
	AH	US-20030056235 A1	03-20-2003	Fire et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
Letitole No.1 magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	CH2	HASUWA, et al., "Small interfering RNA and gene silencing in transgenic mice and rats," FEBS Letters, 532:227-230 (2002).			
	CI2	MANCHE, et al., "Interactions between Double-Stranded RNA Regulators and the Protein Kinase DAI," Molecular and Cellular Biology, 12(11):5238-5248 (1992).			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/17 (04-07)

Approved for use through 04/30/2007. OMB 0651-0032

JUL 0 2 2007 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. 10/055,797 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number January 22, 2002 FEE TRANSMITTAL Filing Date Gregory J. Hannon First Named Inventor For FY 2007 K. Chong Examiner Name Applicant claims small entity status. See 37 CFR 1.27 1635 Art Unit TOTAL AMOUNT OF PAYMENT CSHL-P03-010 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Number: 18-1945 Deposit Account Name Fish & Neave IP Group, Ropes & Gray LLP x Deposit Account For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayments of Credit any overpayments х fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES** Small Entity Small Entity **Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) 300 500 200 Utility 150 250 100 200 100 50 130 100 65 Design Plant 200 100 300 150 160 80 600 300 Reissue 300 150 500 250 200 100 0 በ n Provisional Small Entity 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 360 Extra Claims **Multiple Dependent Claims Total Claims** Fee Paid (\$) Fee Paid (\$) Fee (\$) - 20 = HP = highest number of total claims paid for, if greater than 20. Extra Claims Fee Paid (\$) Indep. Claims -3 = ____ X ____ HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) **Total Sheets** Number of each additional 50 or fraction thereof Fee (\$) - 100 = /50 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130/fee (no small entity discount) Other (e.g., late filing surcharge): 1/806 Submission of an Information Disclosure Statement 180.00 SUBMITTED BY Registration No 50,306 (617) 951-7268 Signature Telephone Name (Print/Type) Yu Lu, Ph.D./J.D. Date June 28, 2007

> I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Signature: